## INTRODUCTION TO NATURAL LANGUAGE PROCESSING

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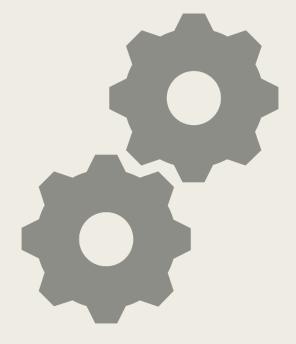


## What is natural language processing?

The study of language and linguistic interactions from a computational perspective, enabling the development of algorithms and models capable of (a) natural language understanding (NLU) and (b) natural language generation (NLG).

### Core Terminology

- N-gram
- Corpus
- Text Normalization
- POS Tagging
- Dependency Parsing
- Stemming
- Lemmatization



# N-gram

- A unit of text, of length *n*
- Most common n-grams:
  - Unigram
  - Bigram
  - Trigram
  - 4-gram
  - 5-gram
- Text unit can be an individual character (useful for language identification) or an individual word (useful for text classification)

## Corpus

- Plural: Corpora
- Synonym: Dataset
- A generally large (although this is not a requirement) collection of text or speech data, used to train machine learning models for natural language processing tasks.
- Example Corpora:
  - Google Books N-gram Corpus: <u>http://storage.googleapis.com/books/</u> <u>ngrams/books/datasetsv2.html</u>
  - British National Corpus: <u>http://www.natcorp.ox.ac.uk/</u>
  - Metaphor Novelty Dataset: <u>http://hilt.cse.unt.edu/resources.html</u> <u>#metaphor\_novelty\_dataset</u>

### Text Normalization

- A sequence of actions applied to unstructured text to convert it into a more useful form for further text processing.
- Often includes:
  - Sentence segmentation
  - Tokenization
- Depending on task:
  - Punctuation removal
  - Contraction handling
  - URL removal
  - Case adjustment

What do you think they'll do next?

what, do, you, think, they, will, do, next

# POS Tagging

- Full term: Part-of-Speech Tagging
- Automatically tagging a token of text with its syntactic part of speech
  - Part of speech: a category of words with similar grammatical properties (e.g., nouns)
- Common POS tag sets:
  - Penn Treebank: <u>https://www.ling.upenn.edu/courses/</u> <u>Fall\_2003/ling001/penn\_treebank\_po</u> <u>s.html</u>
  - Universal POS Tags: <u>https://universaldependencies.org/u/</u> <u>pos/</u>

WP

VBP PRP VB

PRP MD VB

What do you think they 'll do next?

j) i

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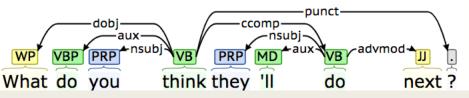
What do you think they'll do next?

# Dependency Parsing

- Automatically tagging pairs of syntactically related words with their grammatical relation type
- Common dependency type sets:
  - Universal Dependencies: <u>https://universaldependencies.org/</u>
  - Stanford Dependencies: <u>https://nlp.stanford.edu/software/dependencies\_manual.pdf</u>

#### Differs from a constituency parser:

- Dependency parser: Word pairs are connected based on syntactic relationship
- Constituency parser: Text is broken into a hierarchical tree of subphrases, with terminal nodes corresponding to words in the source text and non-terminal nodes corresponding to phrase types



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## Stemming

- Removing identified prefixes and suffixes from words
  - Flies  $\rightarrow$  fli
  - Mules  $\rightarrow$  mule
  - Agreed  $\rightarrow$  agre
  - $Owned \rightarrow own$
  - Traditional  $\rightarrow$  tradit
- Can be done heuristically without a dictionary

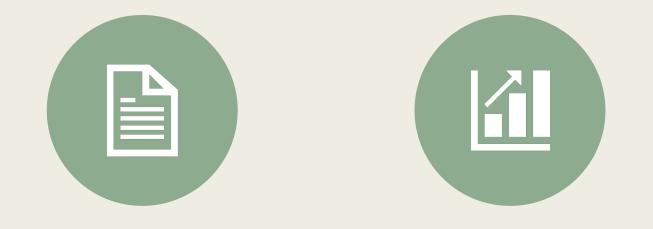
### Lemmatization

- Reduces words to their base form
  - Flies  $\rightarrow$  fly
  - Mules  $\rightarrow$  mule
  - Agreed  $\rightarrow$  agree
  - Owned  $\rightarrow$  own
  - Traditional  $\rightarrow$  tradition
- Requires a dictionary
- Lemmatizers are more accurate and useful than stemmers, but also much more difficult to implement!

### Popular Tools for Core NLP Tasks



### Two Main Approaches to NLP



### RULE-BASED STATISTICAL

- Extracting information from text patterns
- Generating language by filling slots
- Pros:
  - Quicker to implement
  - Produces "normal-sounding" text in target scenarios
  - No processing overhead
- Cons:
  - Heavily constrained
  - Only works in target scenarios
  - Difficult to generalize across domains

Rule-based Natural Language Processing

### Rule-based Natural Language Processing

- Often used with chatbots
- Classic use case: Eliza
  - <u>https://www.masswerk.at/elizabot/</u>
  - <u>https://youtu.be/RMK9AphfLco</u>

ELIZA: Is something troubling you ? (OU: Yes.
ELIZA: You seem to be quite positive.
(OU: I'm really not!
ELIZA: Do you believe it is normal to be really not ?
OU: No, not really.
ELIZA: Are you saying no just to be negative?
OU: I'm saying no because I think most people are positive.
ELIZA: Do you know anyone else who is saying no because you think
nost people are positive ?
(OU: No.
ELIZA: You are being a bit negative.
OU: That's what I was trying to tell you!
ELIZA: Why do you tell me you were trying to tell I now ?

- Much more common for most NLP tasks
- Uses machine learning to train models from text corpora and applies those models to make predictions about new text
- Pros:
  - Scalable
  - Higher coverage
  - Easier to generalize across domains
- Cons:
  - More development overhead
    - Feature selection, model selection, parameter tuning
  - Greater CPU, RAM, and storage needs
  - Requires training corpus

### Statistical Natural Language Processing

= M Gmail	Q Search mail		-			0
- Compose	□· C :	□ - C :				۵
Inbox 3	Primary	Social	Promotions			

### STATISTICAL NATURAL LANGUAGE PROCESSING

Countless applications, many of which are pervasive in day-to-day life

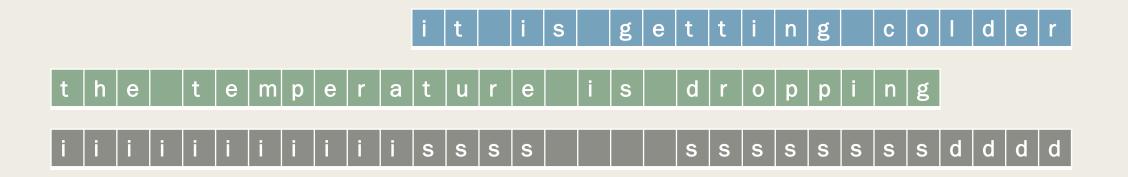
### Think, Pair, Share

- Write three possible applications of rule-based NLP and three possible applications of statistical NLP on your notecard
- Share those ideas with a partner
- Choose one example of each to share with the class
  - Timer: <u>https://www.google.com/search?q=timer</u>



### **Text Similarity**

- Simplest approach: edit distance
- How many transformations (insertions, deletions, or substitutions) are necessary to change one string into another?



### **Text Similarity**

- Common approach: cosine similarity
- Assuming each word in the vocabulary is represented as a point in space, how similar are the vectors representing two sentences?

	it	is	getting	colder	the	temperature	dropping
S1: It is getting colder.	1	1	1	1	0	0	0
S2: The temperature is dropping.	0	1	0	0	1	1	1

$$sim(S1, S2) = \frac{S1 \cdot S2}{\|S1\| \|S2\|} = \frac{\sum_{i=1}^{n} S1_i S2_i}{\sqrt{\sum_{i=1}^{n} S1_i^2} \sqrt{\sum_{i=1}^{n} S2_i^2}}$$

### **Text Similarity**

- What are these approaches missing?
  - Synonyms
  - Paraphrases
  - These approaches compute lexical similarity, but are ignoring semantic similarity!





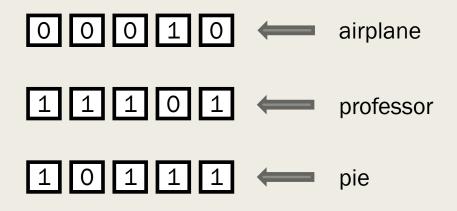


# WORD EMBEDDINGS

### Word Embeddings

- Vectors that refer to a word's point in a multidimensional semantic space
- Can be of any size
  - Most common size: 100 or 300 dimensions
- Learned automatically from massive text corpora







### Word Embeddings

- Lots of different varieties
- Some of the most popular:
  - Word2Vec
  - GloVe
  - ELMo

- Model learns *n*-dimensional embeddings for two types of vectors:
  - Words
  - Contexts
- Weights for all vectors are initialized to random small numbers
- Weights are updated over time as learning progresses
- When Word2Vec finishes, the weights associated with the word vectors are returned as the **embeddings** and the weights associated with the context vectors are discarded

Winters	in C	hicago	o are	cold.
С	С	W	С	С
8		8	B	8
B	Ë	Ħ	Ħ	

- Word2Vec essentially creates a neural network that we don't really need ...all we're interested in are the learned weights!
- Assuming that  $\sigma$  is an activation function in the output layer of the neural network, and assuming  $c_i \in C$  is a context word vector associated with a target word vector w, Word2Vec computes the following:
  - $\sum_{c_i \in C} \sigma(w \cdot c_i)$
- The goal is to learn weights for w and all  $c_i \in C$  such that:
  - The value resulting when w is the target word is high
  - The value resulting when *w* is not the target word is low

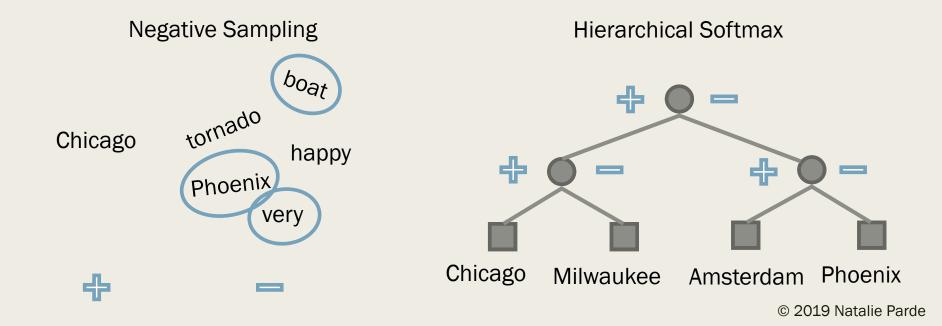
2	Winters in Chicago are cold.	Winters in Phoenix are cold.	
_	ſ		© 2019 Natalie Parde

#### Embeddings can be learned in one of two ways:

- Continuous Bag of Words (CBOW): Predict a word given a context
- Skip-gram: Predict a context given a word



- The weights can also be updated using a couple different strategies:
  - Negative Sampling: Randomly sample negative target words rather than computing values for all possible target words
  - Hierarchical Softmax: Iterate through a binary tree in which nodes are weight vectors and leaves are target words—learn weights that are close to those on the correct path to the target word



### Count-based Embedding Models

It is freezing cold.

Winters in Chicago are cold.

Winters in Phoenix are warm.

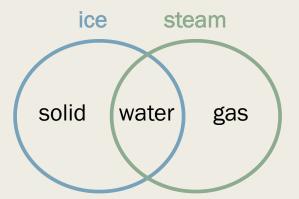
	it	is	freezing	cold	winters	in	chicago	are	phoenix	warm
it	0	1	1	1	0	0	0	0	0	0
is	1	0	1	1	0	0	0	0	0	0
freezing	1	1	0	1	0	0	0	0	0	0
cold	1	1	1	0	1	1	1	1	0	0
winters	0	0	0	1	0	2	1	2	1	1
in	0	0	0	1	2	0	1	2	1	1
chicago	0	0	0	1	1	1	0	1	0	0
are	0	0	0	1	2	2	1	0	1	1
phoenix	0	0	0	0	1	1	0	1	0	1
warm	0	0	0	0	1	1	0	1	1	0

### GloVe

- Co-occurrence matrices quickly grow extremely large
- Intuitive solution to increase scalability → dimensionality reduction
  - However, typical dimensionality reduction strategies may result in too much computational overhead
- GloVe combines aspects of predictive models (e.g., Word2Vec) and count-based models
- Learns to predict weights that correspond to the co-occurrence probabilities between words
  - Specifically: The dot product between two words' vectors should equal the logarithm of their probability of cooccurrence

### GloVe

- Why is this useful?
  - Predictive models  $\rightarrow$  black box
    - They work, but why?
  - GloVe models are easier to interpret
- GloVe models also encode the ratios of co-occurrence probabilities between different words ...this makes these vectors useful for word analogy tasks



### ELMo

- Full Term: Embeddings from Language Models
- Accepts character inputs instead of words, which enables the model to predict embeddings for out-of-vocabulary words
- Concatenates information from multiple layers of a bidirectional language model
  - A model that predicts the next word in a sequence of words, given the words that precede it
- This allows ELMo to store multiple representations of the same word!
- Predicts an embedding for a target word given its context





bank

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# Which embeddings are best?

- It depends on your data!
- In general, Word2Vec and GloVe produce similar embeddings
- Word2Vec → slower to train but less memory intensive
- GloVe → faster to train but more memory intensive
- Word2Vec and Glove both produce context-independent embeddings
- ELMo produces context-dependent embeddings
- ELMo can predict embeddings for new words

### Think, Pair, Share

- Write three possible use cases for word embeddings on your notecard. For each one, indicate what type of word embeddings you think would work best for the task:
  - Word2Vec, GloVe, or ELMo? (Some other type of embedding entirely?)
  - What dataset would they be trained on? (Google Books? Wikipedia? A corpus of news articles? Something else?)
  - If GloVe, any preference between (CBOW X Skip-gram) X (Negative Sampling X Hierarchical Softmax)?
- Discuss these use cases with a partner. Did your partner propose different word embeddings for a similar task?
- Share some clear agreements or differences of opinion with the class.
- Timer: <u>https://www.google.com/search?q=timer</u>



# You can experiment with all of these embedding models yourself!





#### Word2Vec:

Code: https://github.com/tmikolov/wo rd2vec

Pretrained Embeddings: https://code.google.com/archiv e/p/word2vec/ Code: https://github.com/stanfordnlp /GloVe

**GloVe:** 

Pretrained Embeddings: (same website)



### ELMo:

Code (AllenNLP version): <u>https://github.com/allenai/alle</u> <u>nnlp/blob/master/tutorials/how</u> <u>to/elmo.md</u>

Code (TensorFlow version): https://github.com/allenai/bilm -tf

> Pretrained Embeddings: https://allennlp.org/elmo

### **NLP Features**

- Two types:
  - Implicitly learned
  - Engineered



# Implicitly Learned Features

Word Embeddings
Topic Models
Latent Dirichlet Allocation (LDA)

#### Latent Dirichlet Allocation

- Generative probabilistic model that considers two units:
  - Documents
  - Words
- How it works:
  - Randomly assign a topic to each word
  - For each word, assign a new topic based on the likelihood perceived from the current topic/word distribution
  - Repeat until convergence (or until an iteration threshold is met)

	Topic 0	Topic 1	Topic 2		Topic 0	Topic 1	Topic 2
0	0.000	0.000	0.999	Document 0	0.030	0.030	0.939
ø	0.999	0.000	0.000	Document 1	0.939	0.030	0.030
*	0.000	0.999	0.000	Document 2	0.030	0.939	0.030
	Topic 0	Topic 1	Topic 2	Document 3	0.333	0.333	0.333
					Topic 0	Topic 1	Topic 2
Documen Documen							ve Documen ve Documen
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Photo Credit: Lettier, https://medium.com/@lettier/how-does-Ida-work-ill-explain-using-emoji-108abf40fa7d

#### **Engineered Features**

- Psycholinguistic
  - Concreteness/Imageability
  - Sentiment
- Count-based
  - TFIDF
  - Pointwise Mutual Information
- Syntactic
- Lexical

### **Psycholinguistic Features**



#### Concreteness/Imageability

How easily "imageable" is the target word?

- "mug"  $\rightarrow$  high imageability
- "idea"  $\rightarrow$  low imageability



#### Sentiment

Is this word positive or negative?

- "friendly"  $\rightarrow$  positive sentiment
- "cruel"  $\rightarrow$  negative sentiment

#### **Psycholinguistic Resources**

- Brysbaert Concreteness Ratings: <u>http://crr.ugent.be/archives/1330</u>
- MRC Psycholinguistic Database: <u>http://websites.psychology.uwa.ed</u> <u>u.au/school/MRCDatabase/uwa\_</u> <u>mrc.htm</u>
- SentiWordNet: <u>https://sentiwordnet.isti.cnr.it/</u>



### (Non-Embedding) Count-based Features

■ TFIDF: Term Frequency \* Inverse Document Frequency

- Computes the ratio between the word's frequency in a specific document and its frequency in a corpus as a whole
- PMI: Pointwise Mutual Information
  - Computes the strength of the association between two words

$$TF(x) = \frac{\# \text{ times } x \text{ occurs in document } d}{\# \text{ words in document } d}$$
$$DF(x) = \frac{\# \text{ documents containing } x}{\text{ total } \# \text{ documents}}$$
$$TFIDF(x) = TF(x) \times \log \frac{1}{DF(x)}$$

 $p(x) = \frac{\# \text{ documents containing } x}{\text{total } \# \text{ documents}}$   $p(x, y) = \frac{\# \text{ documents containing } x \text{ and } y}{\text{total } \# \text{ documents}}$   $PMI(x, y) = \log \frac{p(x, y)}{p(x)p(y)}$ 

#### Syntactic Features

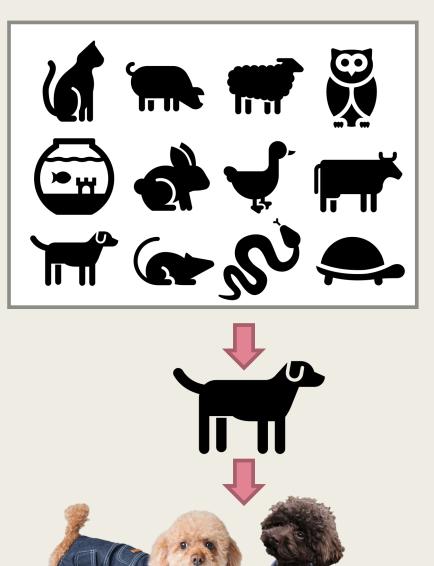
- POS tags
- Dependency parse tags
- Word order
- Word distance (positional)
- Capitalization
- Punctuation
- Character repetition

#### Lexical Features

- Information from machine-readable dictionaries
  - WordNet:

http://wordnetweb.princeton.edu/perl/ webwn

- Word distance (path from one word to another in a dictionary)
- Hypernym
  - More general category (dog  $\rightarrow$  animal)
- Hyponym
  - More specific category (dog  $\rightarrow$  poodle)



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The list of features you can use to solve NLP problems is endless!

- Advantages of implicitly-learned features:
  - No need to handcraft anything
  - Can identify patterns that may not be obvious to humans
- Advantages of engineered features:
  - Provides clearer insight into why an approach works/doesn't work
  - Can directly encode ideas from other research fields (e.g., social science)
- Most researchers try out a wide variety of features on a held-out validation set while developing their models
- Many researchers end up combining implicitly-learned and engineered features



## NLP APPLICATIONS

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### Dialogue Systems/Chatbots

- Two types:
  - Conversational
  - Task-based
- Increasingly pervasive!
  - Siri, Alexa, Google Assistant....
- Typically include components capable of completing the following tasks:
  - Natural language understanding
  - Dialogue management
  - Natural language generation
- Spoken dialogue systems also need to perform automated speech recognition (ASR) and text-to-speech synthesis

- Dialogue system frameworks:
  - Dialogflow
    - <u>https://dialogflow.com/</u>
  - Wit.ai
    - https://wit.ai/
  - Microsot Bot Framework
    - <u>https://dev.botframework.com/</u>
  - IBM Watson
    - https://www.ibm.com/watson/
  - ChatScript
    - <u>https://github.com/ChatScript/</u> <u>ChatScript</u>

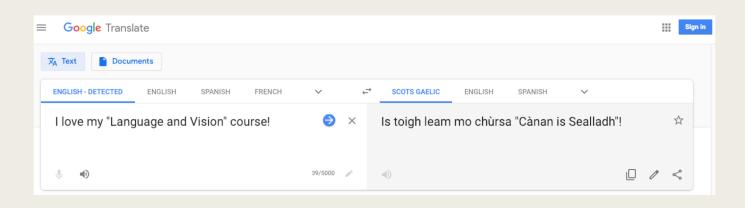
## Cognitive Modeling and Psycholinguistics

- Attempting to understand the human mind by simulating cognitive processes using computational models
- "How do people comprehend language?"
- Often incorporates neuroimaging techniques:
  - Electroencephalogram (EEG)
  - Functional magnetic resonance imaging (fMRI)
- For more background reading on these topics, search for resources on cognitive science:
  - <u>http://cognet.mit.edu/</u>
  - <u>https://www.amazon.com/Cognitive-</u> <u>Science-Introduction-</u> <u>Mind/dp/1107653355</u>



#### **Machine Translation**

- Automatically translating text from one language to another
- Can be rule-based or statistical
- Statistical machine translation models require large corpora of aligned phrases from two languages
- They learn to predict scores for possible translations using the probabilities of different text alignments





### **Question Answering**

- Automatically interpreting the user's question and retrieving the correct information to provide in response
- In general, QA problems can be broken down such that there are three things associated with a question-answer pair:
  - Context
  - Question
  - Text
- Most QA models today work by matching a context (such as an article) with the question, and then identifying the start and end points of the actual answer within that context

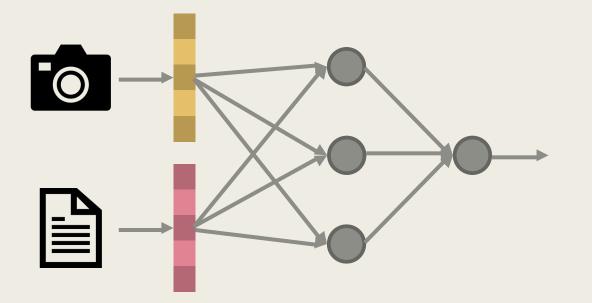
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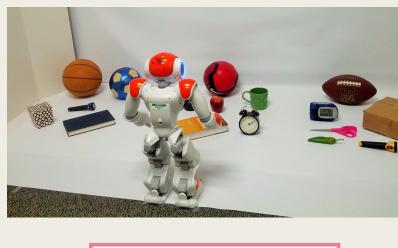
#### Game of Thrones Will Return in 2019 - HBO.com https://www.hbo.com/game-of-thrones/season-8-returning-2019 -

Game of Thrones Will Return in April. The epic fantasy series Game of Thrones will return for its sixepisode, eighth and final season **April 14, 2019**. David Benioff & D.B. Weiss, David Nutter and Miguel Sapochnik will be the directors for the new season.

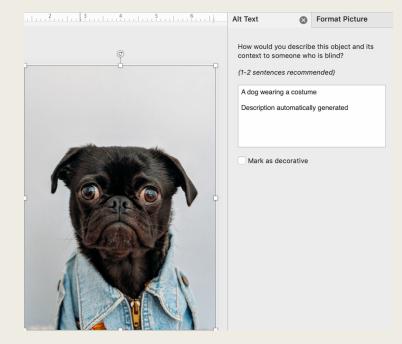
### Multimodal NLP

- Learning word representations using multiple modalities
  - Images, acoustic signals, haptic feedback, etc.
- Aligning text with non-linguistic data
- Very useful in robotics applications and assistive technologies!









# Wrapping up....

- Core NLP terminology
  - N-grams, corpus, text normalization, POS tagging, dependency parsing, stemming, lemmatization
- Text similarity
  - Edit distance, cosine similarity
- Word embeddings
  - Word2Vec, GloVe, ELMo
- NLP features
  - Implicitly learned, engineered
- NLP applications
  - Dialogue systems, cognitive modeling, machine translation, question answering, and multimodal NLP
- For much more information about NLP methods and applications, a good starting point:
  - <u>https://web.stanford.edu/~jurafsky/slp3/</u>